# Document and entity information

| | Sep 2014 | Dec 2013 |
|---|---|---|
| Company information | | |
| Company information | | |
| FASF member mark | | true |
| Document name | | 第1四半期決算短信〔日本基準〕（連結） |
| Filing date | | 2014-01-30 |
| Company name | | Financial Products Group Co.,Ltd. |
| Stock exchange listings | | |
| Tokyo | | true |
| Tokyo 1st section | | true |
| Tokyo 2nd section | | - |
| Tokyo Mothers | | - |
| Tokyo JASDAQ | | - |
| Tokyo PRO Market | | - |
| Tokyo Others | | - |
| Nagoya | | - |
| Nagoya 1st section | | - |
| Nagoya 2nd section | | - |
| Nagoya Centrex | | - |
| Nagoya Others | | - |
| Sapporo | | - |
| Sapporo | | - |
| Sapporo Ambitious | | - |
| Sapporo Others | | - |
| Fukuoka | | - |
| Fukuoka | | - |
| Fukuoka Q-Board | | - |
| Fukuoka Others | | - |
| Green Sheet | | - |
| Japan Securities Dealers Association | | - |
| Securities code | | 71480 |
| URL | | http://www.fpg.jp/ |
| Business category | | |
| General Business | true | |
| Specific Business | - | |
| Fiscal Year End | 2014-09-30 | |
| Quarterly period | | 1 |
| Representative | | |
| Title | | 代表取締役社長 |
| Name | | 谷村　尚永 |
| Inquiries | | |
| Title | | 執行役員経理部長 |
| Name | | 久保出　健二 |
| Tel | | 03-5288-5691 |
| Other | | |
| Quarterly statement filing date (as planned) | | 2014-02-10 |
| Supplemental material of quarterly results | | true |
| Way of getting | | - |
| Convening briefing of quarterly results | | - |
| Target for briefing of quarterly results | | - |
| Note to fraction processing method | | （百万円未満切捨て） |

# Business Results-Quarterly operating results

(in millions of yens)

| | Dec 2013 | Dec 2012 |
|---|---|---|
| Quarterly operating results | | |
| Quarterly operating results | | |
| Consolidated operating results | | |
| Consolidated income statements information | | |
| Net sales | | |
| Net sales | 1,149 | - |
| % change | - | - |

| | | |
|---|---|---|
| Operating income | | |
| Operating income | 490 | - |
| % change | - | - |
| Ordinary income | | |
| Ordinary income | 317 | - |
| % change | - | - |
| Net income | | |
| Net income | 179 | - |
| % change | - | - |
| Note to consolidated income statements information | | |
| Comprehensive income | | |
| Comprehensive income | 196 | - |
| Change in comprehensive income | - | - |
| Other consolidated operating results | | |
| Net income per share (Yen) | 6.89 | - |
| Diluted net income per share (Yen) | 6.79 | - |
| Note to consolidated operating results | | |
| Note to operating results | (注）平成25年9月期第2四半期より、四半期連結財務諸表を作成しているため、平成25年9月期第1四半期の実績及び増減率は記載しておりません。 | |

## Business Results-Quarterly financial positions

(in millions of yens)

| | Dec 2013 | Sep 2013 |
|---|---|---|
| Quarterly financial positions | | |
| Quarterly financial positions | | |
| Consolidated financial positions | | |
| Total assets | 28,171 | 20,240 |
| Net assets | 4,304 | 4,489 |
| Capital adequacy ratio (%) | 15.3 | 22.2 |
| Note to consolidated financial positions | | |
| Owner's equity | 4,304 | 4,489 |
| Note to financial positions | - | |

## Business Results-Note to quarterly business results

| | Dec 2013 |
|---|---|
| Quarterly note to business results | |
| Quarterly note to business results | |
| Note to consolidated financial results | |
| Note to consolidated financial results | - |

## Quarterly Dividends

(in millions of yens)

| | Sep 2014 | Dec 2013 | Sep 2013 |
|---|---|---|---|
| Quarterly dividends | | | |
| Quarterly dividends | | | |
| Dividends | | | |
| Dividend per share (Yen) | | | |
| Dividend per share (Yen) | | | |
| First quarter | | | |
| Result | - | | - |
| Second quarter | | | |
| Result | | | 5.00 |
| Forecast | | 0.00 | |
| Upper | - | | |
| Lower | - | | |
| Third quarter | | | |
| Result | | | - |
| Forecast | - | | |
| Upper | - | | |

| | | | |
|---|---|---|---|
| Lower | - | | |
| Year end | | | |
| Result | | | 14.67 |
| Forecast | 16.50 | | |
| Upper | - | | |
| Lower | - | | |
| Annual | | | |
| Result | | | 19.67 |
| Forecast | 16.50 | | |
| Upper | - | | |
| Lower | - | | |
| Correction of dividend forecast from the most recent dividend forecast | | | |
| Correction of dividend forecast from the most recent dividend forecast | | | |
| Annual | - | | |
| Note to dividends | | | |
| Note to dividends | | | |
| Annual | | (注１）平成25年9月期の第2四半期末配当金5円00銭は、東京証券取引所市場第一部上場に関する記念配当であります。<br>(注２）平成25年6月1日付で普通株式1株につき3株の株式分割を行っております。上記の1株当たり配当金のうち、平成25年9月期第2四半期は、当該株式分割<br>前の金額であり、平成25年9月期の期末及び平成26年9月期（予想）の期末は当該株式分割後の金額であります。<br>参考情報として、当該株式分割が前事業年度の期首に行われたと仮定した場合の1株当たり配当金は以下のとおりであります。<br>平成25年9月期 第2四半期 1円67銭 期末 14円67銭 合計 16円34銭、平成26年9月期 第2四半期 0円00銭 期末 16円50銭 合計 16円50銭 | |

# Quarterly Forecasts

(in millions of yens)

| | Sep 2014 | Mar 2014 |
|---|---|---|
| Quarterly forecasts | | |
| Quarterly forecasts | | |
| Title for forecasts | 3. 平成26年9月期の連結業績予想（平成25年10月1日～平成26年9月30日） | |
| Preamble to consolidated forecasts | | |
| Preamble to forecasts | - | |
| Main table of consolidated forecasts | | |
| Net sales | | |
| Net sales | | |
| Forecast | 5,200 | 2,671 |
| Upper | - | - |
| Lower | - | - |
| % change | | |
| Forecast | 29.6 | 26.5 |
| Upper | - | - |
| Lower | - | - |
| Operating income | | |
| Operating income | | |
| Forecast | 2,373 | 1,277 |

| | | |
|---|---|---|
| Upper | - | - |
| Lower | - | - |
| % change | | |
| Forecast | 13.9 | 5.9 |
| Upper | - | - |
| Lower | - | - |
| Ordinary income | | |
| Ordinary income | | |
| Forecast | 2,200 | 1,061 |
| Upper | - | - |
| Lower | - | - |
| % change | | |
| Forecast | 12.2 | -3.6 |
| Upper | - | - |
| Lower | - | - |
| Net income | | |
| Net income | | |
| Forecast | 1,316 | 631 |
| Upper | - | - |
| Lower | - | - |
| % change | | |
| Forecast | 11.0 | -5.7 |
| Upper | - | - |
| Lower | - | - |
| Net income per share (Yen) | | |
| Net income per share (Yen) | | |
| Forecast | 50.60 | 24.29 |
| Upper | - | - |
| Lower | - | - |
| Note to consolidated forecasts | | |
| Note to forecasts | - | |
| Correction of financial forecast from the most recent financial forecast | | |
| Correction of financial forecast from the most recent financial forecast | | |
| Forecast | - | |

## Notes, Quarterly-Material changes in subsidiaries during this period changes in scope of consolidations resulting from change in subsidiaries

| | Dec 2013 |
|---|---|
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Others | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | - |
| Note to material changes in subsidiaries during this period | |
| Note to material changes in subsidiaries during this period | - |

## Notes, Quarterly-Applying of specific accounting of the consolidated quarterly financial statements

| | Dec 2013 |
|---|---|
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Others | |
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Applying of specific accounting of the consolidated quarterly financial statements | - |
| Note to applying of specific accounting of the consolidated quarterly financial statements | |
| Note to applying of specific accounting of the consolidated quarterly financial statements | - |

# Notes, Quarterly-Changes in accounting policies and accounting estimates retrospective restatement

| | Dec 2013 |
|---|---|
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Others | |
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Changes in accounting policies based on revisions of accounting standard | - |
| Changes in accounting policies other than ones based on revisions of accounting standard | - |
| Changes in accounting estimates | - |
| Retrospective restatement | - |
| Note to changes in accounting policies, accounting estimates and retrospective restatement | |
| Note to changes in accounting policies, accounting estimates and retrospective restatement | - |

# Notes, Quarterly-Number of issued and outstanding shares common stock

| | Dec 2013 | Sep 2013 | Dec 2012 |
|---|---|---|---|
| Number of issued and outstanding shares (common stock) | | | |
| Number of issued and outstanding shares (common stock) | | | |
| Others | | | |
| Number of issued and outstanding shares (common stock) | | | |
| Number of issued and outstanding shares at the end of fiscal year (including treasury stock) | 26,017,200 | 26,017,200 | |
| Number of treasury stock at the end of fiscal year | 2,151 | 2,106 | |
| Average number of shares | 26,015,064 | | 24,957,972 |
| Note to number of issued and outstanding shares (common stock) | | | |
| Note to number of issued and outstanding shares (common stock) | (注)当社は、平成25年6月1日付けで普通株式１株につき３株の株式分割を実施しております。各株式数は、これらの株式分割が前事業年度の期首に行わ れたと仮定し、算定しております。 | | |

**This information is a summary of the earnings report. It does not contain all details included in the earnings report disclosed in Japanese.**