# Document and entity information

| | Sep 2014 | Jun 2014 |
|---|---|---|
| Company information | | |
| Company information | | |
| FASF member mark | | true |
| Document name | | 第3四半期決算短信〔日本基準〕（連結） |
| Filing date | | 2014-07-30 |
| Company name | | Financial Products Group Co.,Ltd. |
| Stock exchange listings | | |
| Tokyo | | true |
| Tokyo 1st section | | true |
| Tokyo 2nd section | | - |
| Tokyo Mothers | | - |
| Tokyo JASDAQ | | - |
| Tokyo PRO Market | | - |
| Tokyo Others | | - |
| Nagoya | | - |
| Nagoya 1st section | | - |
| Nagoya 2nd section | | - |
| Nagoya Centrex | | - |
| Nagoya Others | | - |
| Sapporo | | - |
| Sapporo | | - |
| Sapporo Ambitious | | - |
| Sapporo Others | | - |
| Fukuoka | | - |
| Fukuoka | | - |
| Fukuoka Q-Board | | - |
| Fukuoka Others | | - |
| Green Sheet | | - |
| Japan Securities Dealers Association | | - |
| Securities code | | 71480 |
| URL | | http://www.fpg.jp/ |
| Business category | | |
| General Business | true | |
| Specific Business | - | |
| Fiscal Year End | 2014-09-30 | |
| Quarterly period | | 3 |
| Representative | | |
| Title | | 代表取締役社長 |
| Name | | 谷村　尚永 |
| Inquiries | | |
| Title | | 執行役員経理部長 |
| Name | | 久保出　健二 |
| Tel | | 03-5288-5691 |
| Other | | |
| Quarterly statement filing date (as planned) | | 2014-08-12 |
| Supplemental material of quarterly results | | true |
| Way of getting | | - |
| Convening briefing of quarterly results | | - |
| Target for briefing of quarterly results | | - |
| Note to fraction processing method | | （百万円未満切捨て） |

# Business Results-Quarterly operating results

(in millions of yens)

| | Jun 2014 | Jun 2013 |
|---|---|---|
| Quarterly operating results | | |
| Quarterly operating results | | |
| Consolidated operating results | | |
| Consolidated income statements information | | |
| Net sales | | |
| Net sales | 4,797 | 2,847 |
| % change | 68.5 | - |

| | | |
|---|---|---|
| Operating income | | |
| Operating income | 2,736 | 1,477 |
| % change | 85.2 | - |
| Ordinary income | | |
| Ordinary income | 2,498 | 1,356 |
| % change | 84.2 | - |
| Net income | | |
| Net income | 1,519 | 817 |
| % change | 85.9 | - |
| Note to consolidated income statements information | | |
| Comprehensive income | | |
| Comprehensive income | 1,531 | 819 |
| Change in comprehensive income | 86.8 | - |
| Other consolidated operating results | | |
| Net income per share (Yen) | 57.82 | 32.22 |
| Diluted net income per share (Yen) | 57.03 | 31.25 |
| Note to consolidated operating results | | |
| Note to operating results | (注１）平成25年9月期第2四半期より、四半期連結財務諸表を作成しているため、平成25年9月期第3四半期の増減率は記載しておりません。<br>(注２）平成25年6月1日付で普通株式1株につき3株の株式分割を行っております。上記の1株当たり情報は、当該株式分割が前連結会計年度の期首に<br>行われたと仮定して、算定しております。 | |

## Business Results-Quarterly financial positions

(in millions of yens)

| | Jun 2014 | Sep 2013 |
|---|---|---|
| Quarterly financial positions | | |
| Quarterly financial positions | | |
| Consolidated financial positions | | |
| Total assets | 21,572 | 20,240 |
| Net assets | 10,077 | 4,489 |
| Capital adequacy ratio (%) | 46.7 | 22.2 |
| Note to consolidated financial positions | | |
| Owner's equity | 10,077 | 4,489 |
| Note to financial positions | - | |

## Business Results-Note to quarterly business results

| | Jun 2014 |
|---|---|
| Quarterly note to business results | |
| Quarterly note to business results | |
| Note to consolidated financial results | |
| Note to consolidated financial results | - |

## Quarterly Dividends

(in millions of yens)

| | Sep 2014 | Jun 2014 | Sep 2013 |
|---|---|---|---|
| Quarterly dividends | | | |
| Quarterly dividends | | | |
| Dividends | | | |
| Dividend per share (Yen) | | | |
| Dividend per share (Yen) | | | |
| First quarter | | | |
| Result | - | | - |
| Second quarter | | | |
| Result | 0.00 | | 5.00 |

| | | |
|---|---|---|
| Third quarter | | |
| Result | - | - |
| Year end | | |
| Result | | 14.67 |
| Forecast | 20.50 | |
| Upper | - | |
| Lower | - | |
| Annual | | |
| Result | | 19.67 |
| Forecast | 20.50 | |
| Upper | - | |
| Lower | - | |
| Correction of dividend forecast from the most recent dividend forecast | | |
| Correction of dividend forecast from the most recent dividend forecast | | |
| Annual | true | |
| Note to dividends | | |
| Note to dividends | | |
| Annual | | (注１）平成25年6月1日付で普通株式1株につき3株の株式分割を行っております。上記の1株当たり配当金のうち、平成25年9月期の第2四半期末は、当該株式分割前の金額であり、平成25年9月期の期末及び平成26年9月期の期末は当該株式分割後の金額であります。<br>参考情報として、当該株式分割が前連結会計年度の期首に行われたと仮定した場合の1株当たり配当金は以下のとおりであります。<br>平成25年9月期 第2四半期末 1円67銭 期末 14円67銭 合計 16円34銭<br>平成26年9月期 第2四半期末 0円00銭 期末 20円50銭 合計 20円50銭<br>(注２）平成25年9月期の第2四半期末配当金5円00銭は、東京証券取引所市場第一部上場に関する記念配当であります。 |

# Quarterly Forecasts

(in millions of yens)

| | Sep 2014 |
|---|---|
| Quarterly forecasts | |
| Quarterly forecasts | |
| Title for forecasts | 3. 平成26年 9月期の連結業績予想（平成25年10月 1日～平成26年 9月30日） |
| Preamble to consolidated forecasts | |
| Preamble to forecasts | - |
| Main table of consolidated forecasts | |
| Net sales | |
| Net sales | |
| Forecast | 5,653 |
| Upper | - |
| Lower | - |
| % change | |
| Forecast | 40.9 |
| Upper | - |
| Lower | - |
| Operating income | |
| Operating income | |

| | |
|---|---|
| Forecast | 3,022 |
| Upper | - |
| Lower | - |
| % change | |
| Forecast | 45.0 |
| Upper | - |
| Lower | - |
| Ordinary income | |
| Ordinary income | |
| Forecast | 2,778 |
| Upper | - |
| Lower | - |
| % change | |
| Forecast | 41.6 |
| Upper | - |
| Lower | - |
| Net income | |
| Net income | |
| Forecast | 1,700 |
| Upper | - |
| Lower | - |
| % change | |
| Forecast | 43.4 |
| Upper | - |
| Lower | - |
| Net income per share (Yen) | |
| Net income per share (Yen) | |
| Forecast | 61.74 |
| Upper | - |
| Lower | - |
| Note to consolidated forecasts | |
| Note to forecasts | - |
| Correction of financial forecast from the most recent financial forecast | |
| Correction of financial forecast from the most recent financial forecast | |
| Forecast | - |

## Notes, Quarterly-Material changes in subsidiaries during this period changes in scope of consolidations resulting from change in subsidiaries

| | Jun 2014 |
|---|---|
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Others | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | |
| Material changes in subsidiaries during this period (Changes in scope of consolidations resulting from change is subsidiaries) | true |
| Note to material changes in subsidiaries during this period | |
| Note to material changes in subsidiaries during this period | (注）詳細は、添付資料9ページ「当四半期連結累計期間における重要な子会社の異動」をご覧ください。 |

## Notes, Quarterly-Applying of specific accounting of the consolidated quarterly financial statements

| | Jun 2014 |
|---|---|
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Others | |
| Applying of specific accounting of the consolidated quarterly financial statements | |
| Applying of specific accounting of the consolidated quarterly financial statements | - |
| Note to applying of specific accounting of the consolidated quarterly | |

| | |
|---|---|
| financial statements | |
| Note to applying of specific accounting of the consolidated quarterly financial statements | - |

## Notes, Quarterly-Changes in accounting policies and accounting estimates retrospective restatement

| | Jun 2014 |
|---|---|
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Others | |
| Changes in accounting policies, accounting estimates and retrospective restatement | |
| Changes in accounting policies based on revisions of accounting standard | - |
| Changes in accounting policies other than ones based on revisions of accounting standard | - |
| Changes in accounting estimates | - |
| Retrospective restatement | - |
| Note to changes in accounting policies, accounting estimates and retrospective restatement | |
| Note to changes in accounting policies, accounting estimates and retrospective restatement | - |

## Notes, Quarterly-Number of issued and outstanding shares common stock

| | Jun 2014 | Sep 2013 | Jun 2013 |
|---|---|---|---|
| Number of issued and outstanding shares (common stock) | | | |
| Number of issued and outstanding shares (common stock) | | | |
| Others | | | |
| Number of issued and outstanding shares (common stock) | | | |
| Number of issued and outstanding shares at the end of fiscal year (including treasury stock) | 31,253,200 | 26,017,200 | |
| Number of treasury stock at the end of fiscal year | 2,151 | 2,106 | |
| Average number of shares | 26,285,471 | | 25,372,428 |
| Note to number of issued and outstanding shares (common stock) | | | |
| Note to number of issued and outstanding shares (common stock) | (注)当社は、平成25年6月1日付けで普通株式1株につき3株の株式分割を実施しております。各株式数は、当該株式分割が前連結会計年度の期首に行われたと仮定し、算定しております。 | | |

**This information is a summary of the earnings report. It does not contain all details included in the earnings report disclosed in Japanese.**