The following is a translation (extract) of the official announcement given to the Tokyo Stock Exchange and the media in Tokyo, Japan.

November 9, 2026

Company: SPARX Group Co., Ltd.
(Code: 8739, TSE Prime Market)

SPARX Group Announces Assets Under Management for October 2026

SPARX Group's AUM decreased by JPY 124.5 billion (4.7% decrease) compared to the previous month.
As a result, the Group's AUM is JPY 2,518.3 billion.

■ AUM by Investment Strategy-Four Pillars (Unit: 100million JPY)

	2025 Nov.	2025 Dec.	2026 Jan.	2026 Feb.	2026 Mar.	2026 Apr.	2026 May	2026 Jun.	2026 July.	2026 Aug.	2026 Sep.	2026 Oct
Japan Equity	15,391	15,592	15,916	17,106	15,214	15,914	17,209	17,401	17,567	18,109	17,916	17,467
OneAsia	1,895	2,071	2,535	2,820	2,395	3,203	3,560	3,504	2,784	3,109	3,127	2,532
Real Assets	3,146	3,146	3,146	3,225	3,261	3,261	3,261	3,261	3,261	3,261	3,261	3,261
Private Equity (Mirai Creation, etc.)	1,672	1,525	1,544	1,559	1,580	1,975	1,975	1,949	2,139	2,138	2,123	1,921
Total	22,105	22,336	23,142	24,711	22,452	24,355	26,007	26,116	25,753	26,618	26,429	25,183

(Note)

1. The preliminary figures of the most recent month are released monthly, usually within five working days of the beginning of the next month since they constitute the basis for the revenues such as fees from mutual funds and investment advisory fees, which accounts for the Company's majority of revenue.
2. AUM is assets that were entrusted to us by our investors, and not the assets owned by SPARX Group.
3. AUM would fluctuate due to the changes in investment performance, foreign exchange rate, and subscription and redemption of the funds.
4. The above-mentioned tables detail historical month-end AUM and preliminary figures for the asset management subsidiaries of SPARX Group, consisting of SPARX Asset Management Co., Ltd., SPARX Asset Trust & Management Co., Ltd., SPARX Investment Co., Ltd., SPARX Asset Management Korea Co., Ltd., and SPARX Asia Capital Management Limited. In addition, AUM of power stations and other assets which SPARX Green Energy & Technology Co., Ltd. manages is also included in it.
   SPARX Group owns either directly or indirectly 100% of all the subsidiaries mentioned above. Please note that the above figures include 100% of AUM for each subsidiary.
   As Nomura SPARX Investment, Inc. is no longer accounted for under the equity-method by SPARX Group, its AUM has been excluded from SPARX Group's AUM since the end of October 2026.
5. All funds are valued at current market prices or commitment amount that are the basis for the management fee calculation and are rounded down to the nearest ¥100 million.
6. The figures at the end of the previous month are based on in-house calculations and should be regarded as preliminary. In addition, the preliminary figures will be adjusted and released as confirmed figures in the next month.
7. AUM of "Japan Renewable Energy Investment Strategy" is shown in terms of the amount of cost for acquisition of assets of renewable energy generating facilities. The group companies have steady inflows of management fees for such power stations calculated based on the acquisition cost each month from contracts as well as investment advisory fees based on the equity investment amount.
8. "Japanese Equity" and "OneAsia" have funds that are investing in both Japanese equities and Asian equities. If the percentage invested in Japanese equities account for more than 50%, then it is included under "Japanese Equity" strategy, and vice versa.

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■ Stock market indexes and exchange rates

	September 2026	October 2026	variability rate
Nikkei 225	66,753.72	63,290.11	▲5.19%
TOPIX	4,108.65	3,965.07	▲3.49%
KOSPI Index	6,838.04	5,730.74	▲16.19%
KOSDAQ Index	855.91	724.38	▲15.37%
Hong Kong Hang Seng Index	24,613.27	23,294.42	▲5.36%
KRW/JPY rate	0.1161	0.1180	+1.66%
USD/JPY rate	157.13	157.48	+0.22%

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